



47

**PATENT**  
**4231US**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Vogels et al.

**Serial No.:** 09/444,284

**Filed:** November 19, 1999

**For:** GENE DELIVERY VECTORS  
PROVIDED WITH A TISSUE TROPISM  
FOR SMOOTH MUSCLE CELLS, AND/OR  
ENDOTHELIAL CELLS

**Examiner:** C. Drabik

**Group Art Unit:** 1633

**Attorney Docket No.:** 4231US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EL700255375US

Date of Deposit with USPS: February 28, 2001

Person making Deposit: Daniel Thatcher

**RECEIVED**

**MAR 13 2001**

**TECH CENTER 1600/2900**

**Statement under 37 C.F.R. §§ 1.821(f) & (g)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Jarett K. Abramson, an attorney registered to practice before the United States Patent & Trademark Office and attorney of record for this application, state that:

1. The enclosed paper copy of the SEQUENCE LISTING, as well as the enclosed copy of the SEQUENCE LISTING in computer readable form (CRF), are in compliance with the requirements of 37 C.F.R. §§ 1.821 through 1.825.

03/05/2001 NYUSUF1 00000037 09444284

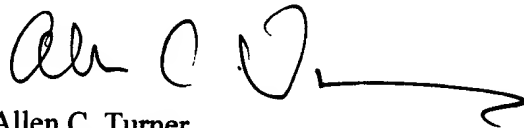
01 FC:215

55.00 0P

2. The enclosed copy of the SEQUENCE LISTING in computer readable form (CRF) is believed to be identical to the paper copy of the SEQUENCE LISTING.

3. The enclosed paper copy of the SEQUENCE LISTING contains no new matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Allen C. Turner', followed by a long horizontal line extending to the right.

Allen C. Turner  
Registration No. 33,041  
Attorney for Applicants  
TRASKBRITT, PC  
P. O. Box 2550  
Salt Lake City, Utah 84110  
Telephone: (801) 532-1922

Date: February 28, 2001

N:\2183\4231\Sequence listing statement.wpd



03-01-01

PATENT  
Attorney Docket No. 4231US

Box 500  
1633  
\$

**CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)**

I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service

☐ as first class mail, postage prepaid (37 C.F.R. § 1.8)

☒ "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10

Express mail label mailing number: EL700255375US

on the date inserted below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Daniel Thatcher

Typed name of person mailing transmittal

February 28, 2001

Date of Deposit

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: C. Drabik

Group Art Unit No.: 1633

Applicant(s): Vogels et al.

Filing date: November 19, 1999

Serial No.: 09/444,284

For (title):

GENE DELIVERY VECTORS PROVIDED WITH A TISSUE  
TROPISM FOR SMOOTH MUSCLE CELLS, AND/OR  
ENDOTHELIAL CELLS

RECEIVED

MAR 08 2001

TECH CENTER 1600/2900

**COMMUNICATION TRANSMITTAL**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☐ Amendment or other communication in response to the non-final office action mailed.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☒ Sequence Listing (paper copy and CRF); Statement under 37 C.F.R. §§ 1.821(f)& (g).
- ☒ Check No. 15935 in the amount of \$55.00 for extension of time.

Remarks:

- ☐ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		EXTRA
Total claims	-	=	x 18.00	=	
Indep. claims	-	=	x 80.00	=	
First presentation of a multiple dep. claim (+270.00)					
SUBTOTAL					
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

1 Claims remaining after amendment.

2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.

3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Allen C. Turner  
Reg. No. 33,041  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, UT 84110-2550  
(801) 532-1922

Date: February 28, 2001  
Enclosures: As identified above